

PATENT
Atty. Dkt. No. BAR18 P-300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Rick A. Barker
Serial No. : 10/698,922
Filing Date : October 31, 2003
Confirmation : 2999
Art Unit : 1761
Atty. Dkt. : BAR18 P-300
For : HEATED MERCHANDISER FOR BREAD AND THE LIKE

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

TRANSMITTAL LETTER FOR IDS SUBMITTED

UNDER 37 CFR 1.97(b)

Enclosed please find an Information Disclosure Statement, including copies of the listed references, for filing in the above-identified patent application.

The Information Disclosure Statement is being filed within the period specified in 37 CFR 1.97(b), as set forth below, such that no certification or fee is believed necessary.

- (1) Within three months of the filing date of a national application;
- (2) Within three months of the date of entry of the national stage as set forth in §1.491 in an international application; or
- (3) Before the mailing date of a first Office action on the merits, whichever event occurs last.


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Page : 2

Favorable action on the merits of the application is earnestly solicited.

Respectfully submitted,

RICK A. BARKER


By: Price, Heneveld, Cooper,
DeWitt & Litton



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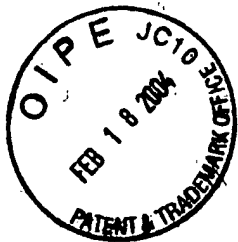
CERTIFICATE OF MAILING

I hereby certify this correspondence, and all identified enclosures and attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on 2/13/04.



Carl S. Clark

CSC/jkrp
Enclosures



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Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.51, 1.56, and 1.97-1.99 et seq., Applicant hereby advises the Patent and Trademark Office of the information referenced on the attached Information Disclosure Citation document Form PTO/SB/08a. Copies of the references listed are enclosed.

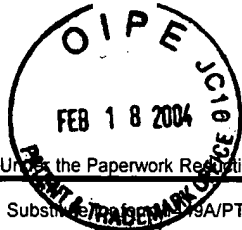
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CSC/jkrp



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Substitute/Replacement PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/698,922
Filing Date	10/31/2003
First Named Inventor	Rick A. Barker
Art Unit	1761
Examiner Name	
Attorney Docket Number	BAR18 P-300

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 2003/0024921 A1	02/06/2003	REDDY ET AL	
		US- 6,455,816 B1	09/24/2002	REDDY ET AL	
		US- 2002/0072323 A1	06/13/2002	HAKEMANN	
		US- 2002/0005686 A1	01/17/2002	NUTTALL ET AL	
		US- 6,098,529	08/08/2000	BRUMMETT ET AL	
		US- 5,505,009	04/09/1996	STEIN ET AL	
		US- D364,530	11/28/1995	ROBARDS JR ET AL	
		US- D329,778	09/29/1992	EASTERLING ET AL	
		US- 5,123,178	06/23/1992	STEIN	
		US- 4,953,879	09/04/1990	CAIN ET AL	
		US- 4,803,921	02/14/1989	NUSS	
		US- 4,579,051	04/01/1986	BERRENS	
		US- 4,343,985	08/10/1982	WILSON ET AL	
		US- 4,138,504	02/06/1979	MACK ET AL	
		US- 4,074,108	02/14/1978	KING	
		US- 3,999,475	12/28/1976	RODERICK	
		US- 3,640,207	02/08/1972	SNYDER	
		US- 3,545,832	12/08/1970	LEVENBACK	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

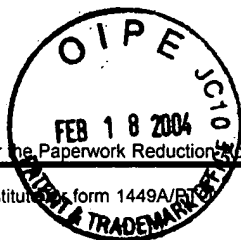
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitution form 1449A/B

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/698,922
Filing Date	10/31/2003
First Named Inventor	Rick A. Barker
Art Unit	1671
Examiner Name	
Attorney Docket Number	BAR18 P-300

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- D209,257	11/14/1967	BRESSICKELLO	
		US- D176,519	01/03/1956	JOHNSON	
		US- 2,527,101	10/24/1950	MADDOX	
		US- 2,458,190	01/04/1949	NEWBURGER	
		US- 2,277,282	03/24/1942	WALBORN	
		US- 1,754,518	04/15/1930	KINNIE	
		US- 1,458,127	06/12/1923	BROWN	
		US- 1,375,502	04/19/1921	GIDDINGS	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
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